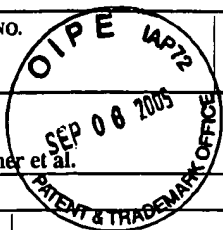


FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO. <b>05456.105008</b>	SERIAL NO. <b>09/685,285</b>	FILING DATE <b>October 10, 2000</b>
APPLICANT <b>John M. Hammer et al.</b>	GROUP <b>2135</b>	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LA	AA	5,696,486	12/9/97	Poliquin et al.	340	—	
	AB	6,353,385	3/5/02	Molini et al.	340	—	
	AC	5,353,393	10/4/94	Bennett et al.	345	—	
	AD	6,429,952	8/6/02	Olbricht	358	—	
	AE	5,748,098	5/5/98	Grace	370	—	
	AF	6,396,845	5/28/02	Sugita	370	—	
	AG	6,711,127	3/23/04	Gorman et al.	370	—	
	AH	5,734,697	3/31/98	Jabbarnezhad	379	—	
	AI	6,104,783	8/15/00	DeFino	379	—	
	AJ	6,226,372	5/1/01	Beebe et al.	379	—	
	AK	6,445,822	9/3/02	Crill et al.	382	—	
	AL	6,563,959	5/13/03	Troyanker	382	—	
	AM	6,618,501	9/9/03	Osawa et al.	382	—	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Hammer</i>	DATE CONSIDERED <i>3/4/06</i>
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05456.105008	09/685,285	October 10, 2000
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John M. Hammer et al.		2135

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
11A	AN	6,628,824	9/30/03	Belanger	382	—	
	AO	6,647,139	11/11/03	Kunii et al.	382	—	
	AP	6,661,904	12/9/03	Sasich et al.	382	—	
	AQ	6,668,082	12/23/03	Davison et al.	382	—	
	AR	6,668,084	12/23/03	Minami	382	—	
	AS	6,792,144	9/14/04	Yan et al.	382	—	
	AT	5,345,595	9/6/94	Johnson et al.	455	—	
	AU	6,278,886	8/21/01	Hwang	455	—	
	AV	6,889,168	05/03/05	Hartley et al.	702	—	
	AW	5,210,704	5/11/93	Husseiny	702	—	
	AX	5,842,002	11/24/98	Schnurer et al.	703	—	
	AY	5,854,916	12/29/98	Nachenberg	703	—	
	AZ	5,999,723	12/7/99	Nachenberg	703	—	
	BA	6,067,410	5/23/00	Nachenberg	703	—	
11A	BB	5,864,803	1/26/99	Nussbaum	704	—	

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EXAMINER	DATE CONSIDERED
11A	3/4/00

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
UA	BC	6,014,645	1/11/00	Cunningham	705		
	BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BE	5,675,711	10/7/97	Kephart et al.	706		
	BF	5,907,834	5/25/99	Kephart et al.	706		
	BG	5,649,185	7/15/97	Antognini et al.	707		
	BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BI	5,878,420	3/2/99	de la Salle	707		
	BJ	5,899,999	5/4/99	De Bonet	707		
	BK	6,144,961	11/7/00	de la Salle	707		
	BL	6,161,109	12/12/00	Matamoros et al.	707		
	BM	6,282,546	8/28/01	Gleichauf et al.	707		
	BN	6,463,426	10/8/02	Lipson et al.	707		
	BO	6,647,400	11/11/03	Moran	707		
EA	BP	4,223,380	9/16/80	Antonaccio et al.	709		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

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EXAMINER	DATE CONSIDERED
<i>John M. Hammer</i>	3/4/00

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LA	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
LS	CE	6,220,768	04/24/01	Barroux	709		

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	Leanna Ho	DATE CONSIDERED	3/4/00
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LA	CF	5,964,839	10/12/99	Johnson et al.	709		
	CG	5,974,237	10/26/99	Shurmer et al.	709		
	CH	5,974,457	10/26/99	Waclawsky et al.	709		
	CH	5,983,270	11/9/99	Abraham et al.	709		
	CJ	6,009,467	12/28/99	Ratcliff et al.	709		
	CK	6,026,442	2/15/00	Lewis et al.	709		
	CL	6,035,323	3/7/00	Narayan et al.	709		
	CM	6,041,347	3/21/00	Harsham et al.	709		
	CN	6,052,709	4/18/00	Paul	709		
	CO	6,070,190	5/30/00	Reps et al.	709		
	CP	6,073,172	6/6/00	Frailong et al.	709		
	CQ	6,085,224	7/4/00	Wagner	709		
	CR	6,119,165	9/12/00	Li et al.	709		
LA	CS	6,195,687	2/27/01	Greaves et al.	709		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	hyma	DATE CONSIDERED	3/4/06
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LA	CT	6,377,994	4/23/02	Ault et al.	709		
	CU	6,397,245	5/28/02	Johnson, II et al.	709		
	CV	6,415,321	7/2/02	Glechauf et al.	709		
	CW	6,434,615	8/13/02	Dinh et al.	709		
	CX	6,438,600	8/20/02	Greenfield et al.	709		
	CY	6,453,345	9/17/02	Trcka et al.	709		
	CZ	6,453,346	9/17/02	Garg et al.	709		
	DA	6,484,203	11/19/02	Porras et al.	709		
	DB	6,496,858	12/17/02	Frailong et al.	709		
	DC	6,519,647	2/11/03	Howard et al.	709		
	DD	6,708,212	3/16/04	Porras et al.	709		
	DE	6,711,615	3/23/04	Porras et al.	709		
	DF	6,718,383	4/6/04	Hebert	709		
LA	DG	2002/0032793	3/14/02	Malan et al.	709		

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**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

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APPLICANT <b>John M. Hammer et al.</b>		GROUP <b>2135</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>LA</i>	DH	2003/0037136	2/20/03	Labovitz et al.	709		
	DI	4,400,769	8/23/83	Kaneda et al.	710		
	DJ	5,511,184	4/23/96	Lin	710		
	DK	6,467,002	10/15/02	Yang	710		
	DL	5,991,856	11/23/99	Spilo et al.	711		
	DM	6,324,627	11/27/01	Kricheff et al.	711		
	DN	4,975,950	12/4/90	Lentz	713		
	DO	5,032,979	7/16/91	Hecht et al.	713		
	DP	5,121,345	6/9/92	Lentz	713		
	DQ	5,204,966	4/20/93	Wittenberg et al.	713		
	DR	5,274,824	12/28/93	Howarth	713		
	DS	5,278,901	1/11/94	Shieh et al.	713		
	DT	5,311,593	5/10/94	Carmi	713		
	DU	5,359,659	10/25/94	Rosenthal	713		
	DV	5,414,833	5/9/95	Hershey et al.	713		
<i>LA</i>	DW	5,475,839	12/12/95	Watson et al.	713		

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**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER <i>h/m/a</i>	DATE CONSIDERED <i>3/4/06</i>
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LA	DX	5,557,742	9/17/96	Smaha et al.	713		
	DY	5,586,260	12/17/96	Hu	713		
	DZ	5,606,668	2/25/97	Shwed	713		
	EA	5,623,600	4/22/97	Ji et al.	713		
	EB	5,623,601	4/22/97	Vu	713		
	EC	5,696,822	12/9/97	Nachenberg	713		
	ED	5,761,504	6/2/98	Corrigan et al.	713		
	EE	5,764,887	6/9/98	Kells et al.	713		
	EF	5,764,890	6/9/98	Glasser et al.	713		
	EG	5,787,177	7/28/98	Leppek	713		
	EH	5,796,942	8/18/98	Esbensen	713		
	EI	5,798,706	8/25/98	Kraemer et al.	713		
	EJ	5,812,763	9/22/98	Teng	713		
	EK	5,815,574	9/29/98	Fortinsky	713		
	EL	5,822,517	10/13/98	Dotan	713		
LA	EM	6,851,057	02/01/05	Nachenberg	713		

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**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	heppner	DATE CONSIDERED	3/4/05
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Hy</i>	EN	5,826,013	10/20/98	Nachenberg	713		
	EO	5,828,833	10/27/98	Belville et al.	713		
	EP	5,832,208	11/3/98	Chen et al.	713		
	EQ	5,832,211	11/3/98	Blakley, III et al.	713		
	ER	5,838,903	11/17/98	Blakely, III et al.	713		
	ES	5,845,067	12/1/98	Porter et al.	713		
	ET	5,848,233	12/8/98	Radia et al.	713		
	EU	5,864,665	1/26/99	Tran	713		
	EV	5,875,296	2/23/99	Shi et al.	713		
	EW	5,892,903	4/6/99	Klaus	713		
	EX	5,919,257	7/6/99	Trostle	713		
	EY	5,919,258	7/6/99	Kayashima et al.	713		
	EZ	5,925,126	7/20/99	Hsieh	713		
	FA	5,931,946	8/3/99	Terada et al.	713		
	FB	5,940,591	8/17/99	Boyle et al.	713		
	FC	6,886,102	04/26/05	Lyle	713		

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**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED
<i>Hyman</i>	<i>3/4/06</i>

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LD	FD	5,961,644	10/5/99	Kurtzberg et al.	713		
	FE	5,978,917	11/2/99	Chi	713		
	FF	5,983,348	11/9/99	Ji	713		
	FG	5,983,350	11/9/99	Minear et al.	713		
	FH	5,987,606	11/16/99	Cirasole et al.	713		
	FI	5,987,610	11/16/99	Franczek et al.	713		
	FJ	5,987,611	11/16/99	Freund	713		
	FK	5,991,881	11/23/99	Conklin et al.	713		
	FL	5,999,711	12/7/99	Misra et al.	713		
	FM	6,003,132	12/14/99	Mann	713		
	FN	6,061,795	5/9/00	Dircks et al.	713		
	FO	6,070,244	5/30/00	Orchier et al.	713		
	FP	6,081,894	6/27/00	Mann	713		
	FQ	6,493,752	12/10/02	Lee et al.	713		
LD	FR	5,905,859	05/18/99	Holloway et al.	713		

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EXAMINER	DATE CONSIDERED
<i>Leipman Ha</i>	3/4/06

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LA	FS	6,088,803	7/11/00	Tso et al.	713		
	FT	6,088,804	7/11/00	Hill et al.	713		
	FU	6,092,194	7/18/00	Touboul	713		
	FV	6,098,173	8/1/00	Elgressy et al.	713		
	FW	6,119,234	9/12/00	Aziz et al.	713		
	FX	6,122,738	9/19/00	Millard	713		
	FY	6,154,844	11/28/00	Touboul et al.	713		
	FZ	6,167,520	12/26/00	Touboul	713		
	GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
	GB	6,205,552	3/20/01	Fudge	713		
	GC	6,266,773	7/24/01	Kisor et al.	713		
	GD	6,266,774	7/24/01	Sampath et al.	713		
	GE	6,272,641	8/7/01	Ji	713		
	GF	6,275,938	8/14/01	Bond et al.	713		
✓	GG	6,275,942	8/14/01	Bernhard et al.	713		
LA	GH	6,279,113	8/21/01	Vaidya	713		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
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**OTHER REFERENCES** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Leyna An</i>	DATE CONSIDERED <i>3/4/06</i>
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
UA	GI	6,298,445	10/2/01	Shostack et al.	713		
	GJ	6,301,668	10/9/01	Gleichauf et al.	713		
	GK	6,314,520	11/6/01	Schell et al.	713		
	GL	6,321,338	11/20/01	Porras et al.	713		
	GM	6,324,647	11/27/01	Bowman-Amuah	713		
	GN	6,338,141	1/8/02	Wells	713		
	GO	6,347,374	2/12/02	Drake et al.	713		
	GP	6,357,008	3/12/02	Nachenberg	713		
	GQ	6,405,318	6/11/02	Rowland	713		
	GR	6,408,391	6/18/02	Huff et al.	713		
	GS	6,460,141	10/1/02	Olden	713		
	GT	6,470,449	10/22/02	Blandford	713		
	GU	6,477,648	11/5/02	Schell et al.	713		
	GV	6,477,651	11/5/02	Teal	713		

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EXAMINER <i>Keppner</i>	DATE CONSIDERED <i>3/4/06</i>
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FORM PTO-1449, Adapted

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ATTY. DOCKET NO. <b>05456.105008</b>	SERIAL NO. <b>09/685,285</b>	FILING DATE <b>October 10, 2000</b>
APPLICANT <b>John M. Hammer et al.</b>		GROUP <b>2135</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>MA</i>	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
<i>MA</i>	HJ	6,775,780	8/10/04	Muttik	713		

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CA	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Gleichauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
MA	HY	5,398,196	3/14/95	Chambers	714		

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HA	HZ	5,440,723	8/8/95	Arnold et al.	714		
	IA	5,452,442	9/19/95	Kephart	714		
	IB	5,649,095	7/15/97	Cozza	714		
	IC	5,765,030	6/9/98	Nachenberg et al.	714		
	ID	5,964,889	10/12/99	Nachenberg	714		
	IE	6,006,016	12/21/99	Faigon et al.	714		
	IF	6,016,553	1/18/00	Schneider et al.	714		
	IG	6,021,510	2/1/00	Nachenberg	714		
	IH	6,029,256	2/22/00	Kouznetsov	714		
	II	6,035,423	3/7/00	Hodges et al.	714		
	IJ	6,094,731	7/25/00	Waldin et al.	714		
	IK	6,108,799	8/22/00	Boulay et al.	714		
	IL	6,173,413	1/9/01	Slaughter et al.	714		
HA	IM	6,912,676	06/28/05	Gusler et al.	714		

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LA	IN	6,199,181	3/6/01	Rechef et al.	714		
	IO	6,230,288	5/8/01	Kuo et al.	714		
	IP	6,314,525	11/6/01	Mahalingham et al.	714		
	IQ	6,324,656	11/27/01	Gleichauf et al.	714		
	IR	6,601,190	7/29/03	Meyer et al.	714		
	IS	2002/0032880	3/14/02	Poletto et al.	714		
	IT	5,454,074	9/26/95	Hartel et al.	715		
	IU	5,522,026	5/28/96	Records et al.	715		
	IV	6,271,840	8/7/01	Finseth et al.	715		
	IW	6,517,587	2/11/03	Satyavolu et al.	715		
	IX	6,535,227	3/18/03	Fox et al.	715		
	IY	4,819,234	4/4/89	Huber	717		
	IZ	5,590,331	12/31/96	Lewis et al.	717		
✓	JA	5,774,727	6/30/98	Walsh et al.	717		
LA	JB	5,872,978	2/16/99	Hoskins	717		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JA</i>	JC	5,950,012	9/7/99	Shiell et al.	717		
	JD	6,118,940	9/12/00	Alexander, III et al.	717		
	JE	6,405,364	6/11/02	Bowman-Amuah	717		
	JF	6,606,744	8/12/03	Mikurak	717		
	JG	6,397,242	5/28/02	Devine et al.	718		
	JH	2002/0032717	3/14/02	Malan et al.	718		
<i>V</i>	JI	6,477,585	11/5/02	Cohen et al.	719		
<i>W</i>	JJ	6,721,806	4/13/04	Boyd et al.	719		

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**BOX 1 / 4****U.S. PATENT DOCUMENTS**

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		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO.
<i>LJA</i>	JK	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.		
	JL	WO 02/006928	01/24/02	PCT	vCIS, Inc.		
	JM	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.		
	JN	WO 02/056152	07/18/02	PCT	Psionic Software		
	JO	0 985 995	08/13/03	EP	International Business Machines Corp.		
	JP	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.		
	JQ	WO 00/02115	01/13/00	PCT	PRC Inc.		
	JR	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson		
	JS	WO 99/50734	10/07/99	PCT	AT&T Corporation		
	JT	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.		
	JU	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.		
<i>✓</i>	JV	0 636 977	05/23/01	EP	Chambers		
<i>✓</i>	JW	WO 99/15966	04/01/99	PCT	Symantec Corporation		

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

<i>LJA</i>	JX	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
<i>LJA</i>	JY	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
<i>LJA</i>	JZ	International Search Report for PCT/US02/17161 of December 31, 2002
<i>LJA</i>	KA	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pp. 59-68

EXAMINER <i>Leyman K</i>	DATE CONSIDERED <i>3/4/06</i>
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LA	KB	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206
	KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
	KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
	KE	International Search Report for PCT/US01/13769 of March 8, 2002
	KF	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
	KG	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27
	KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
	KI	International Search Report for PCT/US02/04989 of September 19, 2002
	KJ	International Search Report for PCT/US02/02917 of August 8, 2002
	KK	International Search Report for PCT/US03/00155 of May 15, 2003
	KL	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
✓	KM	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
LA	KN	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32

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John M. Hammer et al.				2135			
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<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
LA	KO	Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13 <sup>th</sup> National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839					
	KP	Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172					
	KQ	Buhkan, Checkpoint Charlie, PC Week Network, November 27, 1995, pp. N1, N6-N7					
	KR	Process Software Technical Support Page, found on <a href="http://www.process.com/techsupport/whitesec.html">http://www.process.com/techsupport/whitesec.html</a> , printed off of the Process Software website on February 26, 2003, pp. 1-5					
	KS	Ganesan, BAFirewall: A Modern Firewall Design, Proceedings Internet Society Symposium on Network and Distributed System Security 1994, Internet Soc., 1994, pp. 99-108					
	KT	Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362					
	KU	Lunt, Automated Intrusion Detection, Chapter II-4-4 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 551-563					
	KV	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610					
	KW	Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99					
	KX	Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224					
	KY	Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40					
LA	KZ	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48					
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LB	Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9
LC	Satyanarayanan, Integrating Security in a Large Distributed System, Acm Transaction on Computer Systems, Vol. 7, No. 3, August 1989, pp. 47-280
LD	Sammons, Nathaniel, "Multi-platform Interrogation and Reporting with Rscan," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 75-87
LE	Dean et al., "Java Security: From HotJava to Netscape and Beyond," Proceedings of the 1996 IEEE Symposium on Security and Privacy, May 6-8, 1996, Oakland, California, pp. 190-200.
LF	Fisch et al., "The Design of an Audit Trail Analysis Tool," Proceedings of the 10 <sup>th</sup> Annual Computer Security Applications Conference, December 5-9, 1994, Orlando, Florida, pp. 126-132.
LG	Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.
LH	Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.
LI	Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.
LJ	Burchell, Jonathan, "Vi-SPY: Universal NIM?" Virus Bulletin, January 1995, pp. 20-22.
LK	Benzel et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," 18 <sup>th</sup> National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 83-99.

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LA	LL	Epstein et al., "Component Architectures for Trusted Netware," 18 <sup>th</sup> National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 455-463.
	LM	Varadharajan, Vijay, "Design and Management of a Secure Networked Administration System: A Practical Approach," 19 <sup>th</sup> National Information Systems Security Conference, October 22-25, 1996, Baltimore, Maryland, pp. 570-580.
	LN	Snapp et al., "DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, and An Early Prototype," 14 <sup>th</sup> National Computer Security Conference, October 1-4, 1991, Washington, DC, pp. 167-176.
	LO	Broner et al., "Intelligent I/O Rule-Based Input/Output Processing for Operating Systems," Operating Systems Review, Volume 25, Number 3, July 1991, pp. 10-26.
	LP	Drews et al., "Special Delivery - Automatic Software Distribution Can Make You A Hero," Network Computing, August 1, 1994, pp. 80, 82-86, 89, 91-95.
	LQ	Morrissey, Peter, "Walls," Network Computing, February 15, 1996, pp. 55-59, 65-67.
	LR	Harlander, Dr. Magnus, "Central System Administration in a Heterogenous Unix Environment: GeNUAdmin," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 1-8.
	LS	Shaddock et al., "How to Upgrade 1500 Workstations on Saturday, and Still Have Time to Mow the Yard on Sunday," The Ninth Systems Administration Conference LISA '95, September 17-22, 1995, Monterrey, California, pp. 59-65.
✓	LT	Anderson, Paul, "Towards a High-Level Machine Configuration System," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 19-26.
LA	LU	Cooper, Michael A., "Overhauling Rdist for the '90s," Proceedings of the Sixth Systems Administration Conference (LISA VI), October 19-23, 1992, Long Beach, California, pp. 175-188.

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<i>LA</i>	LV	Vangala et al., "Software Distribution and Management in a Networked Environment," Proceedings of the Sixth Systems Administration Conference, October 19-23, 1992, Long Beach, California, pp. 163-170.
<i> </i>	LW	Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker," 2 <sup>nd</sup> ACM Conference on Computer and Communications Security, November 2-4, 1994, Fairfax, Virginia, pp. 18-29.
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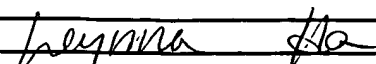
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APPLICANT				GROUP			
John M. Hammer et al.				2135			
<b>U.S. PATENT DOCUMENTS</b>							
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LA	OG	WO 99/13427	3/18/99	PCT	MCI Worldcom, Inc.		
I	OH	WO 99/57626	11/11/99	PCT	Acquis Technology, Inc.		
I	OI	WO 00/10278	2/24/00	PCT	Cryptek Secure Communications, LLC		
I	OJ	WO 00/25214	5/4/00	PCT	Securecoft, Inc.		
I	OK	WO 00/25527	5/4/00	PCT	Telefonaktiebolaget LM Ericsson		
V	OL	WO 00/34867	6/15/00	PCT	Network Ice Corporation		
LA	OM	WO 02/101516	12/19/02	PCT	Intruvert Networks, Inc.		

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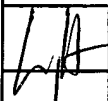

**BOX 2 / 4****U.S. PATENT DOCUMENTS**

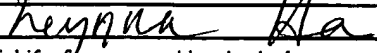
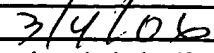
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LA	PS	LaPadula, State of the Art in CyberSecurity Monitoring, A Supplement, September 2001, Mitre Corporation, Pages 1-15

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<b>BOX 2, 3 / 4</b>		

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<b>BOX 3 / 4</b>		

**U.S. PATENT DOCUMENTS**

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